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IPv4 over IEEE 1394

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ABSTRACT

This document specifies how to use IEEE Std 1394-1995, Standard for a High Performance Serial Bus (and its supplements), for the transport of Internet Protocol Version 4 (IPv4) datagrams. It defines the necessary methods, data structures and codes for that purpose and additionally defines a standard method for Address Resolution Protocol (ARP).

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1. INTRODUCTION

This document specifies how to use IEEE Std 1394-1995, Standard for a High Performance Serial Bus (and its supplements), for the transport of Internet Protocol Version 4 (IPv4) datagrams. It defines the necessary methods, data structures and codes for that purpose and additionally defines a standard method for Address Resolution Protocol (ARP).

The group of IEEE standards and supplements, draft or approved, related to IEEE Std 1394-1995 is hereafter referred to either as 1394 or as Serial Bus.

1394 is an interconnect (bus) that conforms to the CSR architecture, ISO/IEC 13213:1994. Serial Bus implements communications between nodes over shared physical media at speeds that range from 100 to 400 Mbps. Both consumer electronic applications (such as digital VCR’s, stereo systems, televisions and camcorders) and traditional desktop computer applications (*e.g.*, mass storage, printers and tapes), have adopted 1394. Serial Bus is unique in its relevance to both consumer electronic and computer domains and is expected to form the basis of a home or small office network that combines both types of devices.

The CSR architecture describes a memory-mapped address space that Serial Bus implements as a 64-bit fixed addressing scheme. Within the address space, ten bits are allocated for bus ID (up to a maximum of 1,023 buses), six are allocated for node physical ID (up to 63 per bus) while the remaining 48 bits (offset) describe a per node address space of 256 terabytes. The CSR architecture, by convention, splits a node’s address space into two regions with different behavioral characteristics. The lower portion, up to but not including 0xFFFF F000 0000, is expected to behave as memory in response to read and write transactions. The upper portion is more like a traditional IO space: read and write transactions to the control and status registers (CSR’s) in this area usually have side effects. Registers that have FIFO behavior customarily are implemented in this region.

Within the 64-bit address, the 16-bit node ID (bus ID and physical ID) is analogous to a network hardware address---but 1394 node ID's are variable and subject to reassignment each time one or more nodes are added to or removed from the bus.

The 1394 link layer provides a datagram service with both confirmed (acknowledged) and unconfirmed datagrams. The confirmed datagram service is called "asynchronous" while the unconfirmed service is known as "isochronous." Other than the presence or absence of confirmation, the principal distinction between the two is quality of service: isochronous datagrams are guaranteed to be delivered with bounded latency. Datagram payloads vary with implementations and may range from one octet up to a maximum determined by the transmission speed (at 100 Mbps, named S100, the maximum asynchronous data payload is 512 octets while at S400 it is 2048 octets).

NOTE: Extensions underway in IEEE P1394b contemplate additional speeds of 800, 1600 and 3200 Mbps; engineering prototypes are planned for early 1998.

2. DEFINITIONS AND NOTATION

2.1 Conformance

Several keywords are used to differentiate levels of requirements and optionality, as follows:

expected: A keyword used to describe the behavior of the hardware or software in the design models assumed by this standard. Other hardware and software design models may also be implemented.

ignored: A keyword that describes bits, octets, quadlets, octlets or fields whose values are not checked by the recipient.

may: A keyword that indicates flexibility of choice with no implied preference.

reserved: A keyword used to describe objects-bits, octets, quadlets, octlets and fields-or the code values assigned to these objects in cases where either the object or the code value is set aside for future standardization. Usage and interpretation may be specified by future extensions to this or other standards. A reserved object shall be zeroed or, upon development of a future standard, set to a value specified by such a standard. The recipient of a reserved object shall not check its value. The recipient of a defined object shall check its value and reject reserved code values.

shall: A keyword that indicates a mandatory requirement. Designers are required to implement all such mandatory requirements to assure interoperability with other products conforming to this standard.

should: A keyword that denotes flexibility of choice with a strongly preferred alternative. Equivalent to the phrase "is recommended."

2.2 Glossary

The following terms are used in this standard:

address resolution protocol: A method for a requester to determine the hardware (1394) address of an IP node from the IP address of the node.

bus ID: A 10-bit number that uniquely identifies a particular bus within a group of bridged buses. The bus ID is the most significant portion of a node’s 16-bit node ID.

IP datagram: An Internet message that conforms to the format specified by RFC 791.

link fragment: A portion of an IP datagram transmitted within a single 1394 packet. The data payload of the 1394 packet contains both a link fragment header and its associated link fragment. It is possible to transmit datagrams without fragmentation.

link fragment header: A structure that precedes all IP datagrams (or each fragment thereof) when they are transmitted over 1394. See also link fragment.

local bus ID: A bus ID with the value 0x3FF. A node shall respond to transaction requests addressed to its 6-bit physical ID if the bus ID in the request is either 0x3FF or a bus ID explicitly assigned to the node.

node ID: A 16-bit number that uniquely identifies a Serial Bus node . The most significant 10 bits are the bus ID and the least significant 6 bits are the physical ID.

node unique ID: A 64-bit number that uniquely identifies a node among all the Serial Bus nodes manufactured worldwide; also known as the EUI-64 (Extended Unique Identifier, 64-bits).

octet: Eight bits of data.

packet: Any of the 1394 primary packets; these may be read, write or lock requests (and their responses) or stream data. The term "packet" is used consistently to differentiate 1394 packets from ARP or IP datagrams, which are also (generically) packets.

physical ID: On a particular bus, this 6-bit number is dynamically assigned during the self-identification process and uniquely identifies a node on that bus.

quadlet: Four octets, or 32 bits, of data.

stream packet: A 1394 primary packet with a transaction code of 0x0A that contains a block data payload. Stream packets may be either asynchronous or isochronous according to the type of 1394 arbitration employed.

2.3 Abbreviations

The following are abbreviations that are used in this standard:

ARP	Address resolution protocol
CSR	Control and status register
CRC	Cyclical redundancy checksum
EUI-64	Extended Unique Identifier, 64-bits (essentially equivalent to names used elsewhere, such as global unique ID or world-wide unique ID)
IP	Internet protocol (within the context of this document, IPv4)

3. IP-CAPABLE NODES

Not all 1394 devices are capable of the reception and transmission of ARP or IP datagrams. An IP-capable node shall fulfill the following minimum ~~1394~~ requirements:

- the *max_rec* field in its bus information block shall be at least 8; this indicates an ability to accept write requests with data payload of 512 octets. The same ability shall also apply to read requests; that is, the node shall be able to transmit a response packet with a data payload of 512 octets;

- it shall be isochronous resource manager capable, as specified by 1394;

- it shall support both reception and transmission of asynchronous streams as specified by P1394a;

- it shall implement the NETWORK_CHANNELS register; and

- it shall be network protocol manager (NPM) capable.

4. NETWORK_CHANNELS REGISTER

This register is required for IP-capable nodes. It shall be located at offset 0xFFFF Fxxx xxxx within the node's address space and shall support quadlet read and write requests, only. The format of the register is shown below.

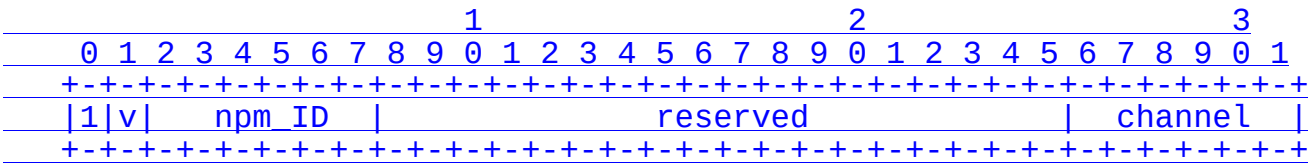

Figure 1 - NETWORK_CHANNELS format

NOTE-The assignment of an address requires action by the IEEE P1394a working group; at the time of writing no proposal has been submitted to that group.

Upon a node power reset or a bus reset, the entire register (with the exception of the most significant bit and the *npm_ID* field) shall be cleared to zero; the *npm_ID* field shall be set to ones.

The most significant bit (a constant one) differentiates the presence of the NETWORK_CHANNELS register in an IP-capable node from the value (all zeros) returned when offset 0xFFFF Fxxx xxxx is read at node(s) that do not implement this register.

The *valid* bit (abbreviated as *v* above), when set to one, indicates that the *channel* field contains meaningful information.

NOTE: IP-capable nodes shall transmit neither ARP nor broadcast IP datagrams while the *valid* bit is zero.

The *npm_ID* field identifies the physical ID of the network protocol manager (NPM). When *npm_ID* is equal to 0x3F the physical ID of the NPM is not specified; otherwise it shall be initialized (by the NPM) to the 6-bit physical ID assigned during the self-identification process.

NOTE: Consensus does not yet exist with respect to the necessity for nor the usage of the *npm_ID* field.

The *channel* field shall be initialized by the NPM (see below) to identify the channel number shared by IP-capable nodes for ARP and IP broadcast.

Only the *valid* bit and the *npm_ID* and *channel* fields may be changed by quadlet write requests; the data value in the write request shall be ignored for all other bit positions.

5. NETWORK PROTOCOL MANAGER (NPM)

In order for ARP or broadcast IP to function on 1394, a prerequisite is the presence of a network protocol manager (NPM). The domain of the NPM is limited to the local Serial Bus; the functions of the NPM are as follows:

- the allocation of a channel number for ARP and broadcast IP; and
- the communication of that channel number to all IP-capable nodes on the same bus.

All IP-capable nodes shall be capable of functioning as the NPM.

Since more than one NPM-capable node may be present, it is necessary to select one node from the candidates. Subsequent to any Serial Bus reset the new NPM shall be determined by a distributed algorithm executed by all the NPM-capable nodes. The algorithm is straightforward: the NPM-capable node with the largest 6-bit physical ID shall be the NPM. This use of physical ID is arbitrary and was selected to simplify the election process. The steps in the algorithm are as follows:

a) An NPM-capable node shall also a contender for the role of isochronous resource manager. The C (contender) bit in its self-ID packet shall be set to one;
b) Subsequent to a bus reset, isochronous resource manager contention takes place during the self-identification process specified by 1394;
c) An NPM-capable node that wins the contention process referenced in b) is the NPM and shall proceed with g). Other NPM-capable node(s) not selected as the isochronous resource manager (hereafter referred to as candidates) shall continue with d);
d) A candidate NPM that loses contention for the role of isochronous resource manager shall delay before it attempts to become the NPM.

The delay time is determined by the physical ID of the candidate NPM and shall be equal to 15 ms * (*irm_ID – candidate_ID*), where *irm_ID* and *candidate_ID* are the physical ID's of the isochronous resource manager and the candidate NPM, respectively. After the delay time has elapsed, the candidate NPM shall examine the *npm_ID* field in its own NETWORK_CHANNELS register; if it is not equal to 0x3F, another node is the NPM. The losing candidate shall wait for the *valid* bit of its own register to be set before transmitting any ARP or IP datagrams;

e) Otherwise, the candidate NPM shall attempt to read the NETWORK_CHANNELS register of any contenders with a larger physical ID (these nodes were identified by the C bit in their self-ID packets). The candidate NPM shall read the NETWORK_CHANNELS register in the contender with the largest physical ID and progress downward. If the register is implemented, the NPM is determined to be a different node. The losing candidate shall ignore the contents of NETWORK_CHANNELS returned in the read response and shall wait for the *valid* bit of its own register to be set before transmitting any ARP or IP datagrams;

f) If no contender with a physical ID larger than the candidate NPM's physical ID implements the NETWORK_CHANNELS register, the search is complete and the candidate becomes the new NPM;

g) Once elected, the NPM shall update the *npm_ID* field in the NETWORK_CHANNELS register of all the IP-capable nodes on the bus with its own physical ID. This signals to other candidates that the NPM election process is complete. Either a broadcast write request or a series of write requests addressed to individual nodes may be used;

h) The NPM shall attempt to allocate a channel number from the CHANNELS_AVAILABLE register (note that the NPM may also be the isochronous resource manager). If no channel number is available, the NPM shall take no additional action (all *valid* bit(s) were cleared by the bus reset);

i) Otherwise, the NPM shall update its own NETWORK_CHANNELS register with its own physical ID, the allocated channel number and set the *valid* bit to one. The NPM shall then write this value to the NETWORK_CHANNELS register of all the IP-capable nodes on the bus. Either a broadcast write request or a series of write requests addressed to individual nodes may be used to propagate the information.

In the case that the NPM is unable to allocate a channel for ARP and broadcast IP, a warning should be communicated to a user that IP initialization could not complete because of a lack of Serial Bus resources. The user should be advised to reconfigure or remove other devices if she wishes to make use of IP.

NOTE: If the NPM is unable to allocate a channel, IP-capable nodes are unable to use the ARP and broadcast IP methods specified by this document. If other methods (*e.g.*, a search of configuration ROM) permit IP-capable nodes to discover each other, they may be able to send and receive IP datagrams.

An IP-capable node that is not the NPM typically awaits a write to its NETWORK_CHANNELS to set the *valid* bit to one; this indicates that the *channel* field is valid for ARP and IP broadcast. If some time-out elapses without this occurrence, an IP-capable node may attempt to locate the NPM and retrieve valid information from the NETWORK_CHANNELS register. If the *npm_ID* field in its own NETWORK_CHANNELS register is not equal to 0x3F, the address of the NPM is known; otherwise the node may search for the NPM as described in e) above. In either case, it is recommended that reads of the NPM's NETWORK_CHANNELS register not be performed within a tight loop, as this could adversely affect both IP and overall 1394 performance on the local bus.

6. LINK ENCAPSULATION AND FRAGMENTATION

NOTE: Consensus has not yet been reached as to whether or not the link encapsulation header should include the length of the fragment. The text in this section is written as if the fragment length is not included but instead obtained from the 1394 packet header. As a consequence, this section is subject to revision pending this decision.

All IP datagrams (broadcast, unicast or multicast), as well as ARP requests and responses, that are transferred *via* 1394 block write requests or stream packets shall be encapsulated within the packet's data payload. The maximum size of data payload, in octets, is constrained by the speed at which the packet is transmitted.

Table 1 - Maximum data payloads

Speed	Asynchronous	Isochronous
S100	512	1024
S200	1024	2048
S400	2048	4096
S800	4096	8192
S1600	8192	16384
S3200	16384	32768

The maximum data payload may also be restricted by the capabilities of the sending or receiving node(s); this is specified by *max_rec* in either the bus information block or ARP response.

For either of these reasons, the minimum capabilities between IP-capable nodes may be less than the 1500 octet maximum transmission unit (MTU) specified by this document. This necessitates 1394 link level encapsulation of IP datagrams, which provides for the ordering and reassembly of link fragments as necessary.

6.1 Link encapsulation header

All datagrams transported over 1394 are prefixed by a link encapsulation header with one of the two formats illustrated below.

If an entire IP datagram may be transmitted within a single 1394 packet, it is unfragmented and the first quadlet of the data payload shall conform to the format illustrated below.

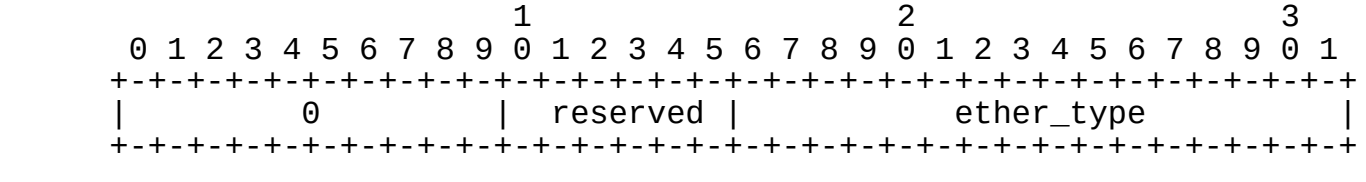

Figure 2 - Unfragmented datagram header format

The *ether_type* field shall specify the nature of the datagram that follows, as specified by the following table.

ether_type	Datagram
0x800	IPv4
0x806	ARP

In cases where the length of the datagram exceeds the maximum data payload supported by the sender and all recipients, the datagram shall be broken into link fragments; the first two quadlets of the data payload for each link fragment shall conform to the format shown below.

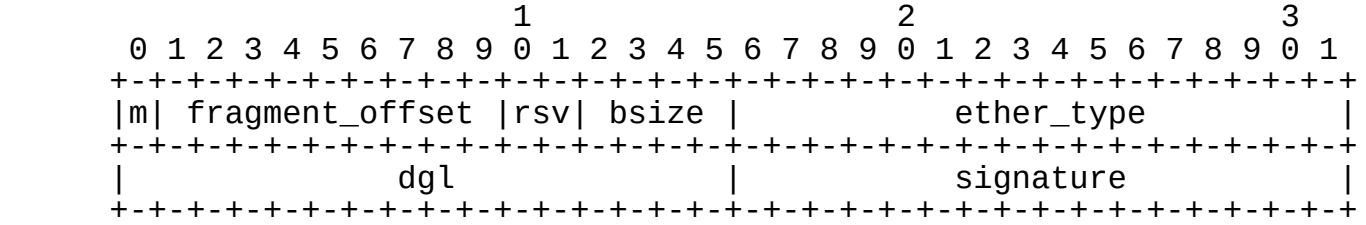

Figure 3 - Fragmented datagram header format

The definition and usage of the fields is as follows:

more: If there are other link fragments for the IP datagram whose offset value(s) are greater than *fragment_offset*, the *more* bit (abbreviated as *m* above) shall be one. When the *more* bit is zero this is the last fragment of the datagram.

For each IP datagram, there shall be exactly one link fragment whose *more* bit is zero.

fragment_offset: This field shall specify the offset, in quadlets, of the fragment from the beginning of the IP datagram. The first quadlet of the datagram (the start of the IP header) has an offset of zero.

bsize: The size of the buffer, expressed as (*bsize* + 1) * 128 octets, necessary for the recipient to reassemble the datagram fragments.

ether_type: This field shall have a value of 0x800, which indicates an IP datagram.

NOTE- Other network protocols, identified by different values of *ether_type*, may use the encapsulation format defined above but such use is outside of the scope of this document.

dgl: The value of *dgl* shall be the same for all fragments of an IP datagram. The sender shall increment the value of *dgl* for successive, fragmented datagrams; the incremented value of *dgl* shall wrap from 65,535 back to zero.

signature: The sender shall set this field to the most significant 16-bits of its own NODE_IDS register.

All datagrams, regardless of the mode of transmission (block write requests or stream packets) shall be preceded by one of the above described link encapsulation headers. This permits uniform software treatment of datagrams without regard to the mode of their transmission.

6.2 Fragment reassembly

The recipient of a fragmented datagram shall use both *signature* and *dgl* to identify all the fragments from a single datagram. Subsequent to reassembly, the recipient shall verify the IP header checksum of the datagram.

NOTE: The use of *signature* for any purpose other than datagram reassembly is fraught with error and is strongly discouraged.

Upon receipt of a datagram fragment, the recipient may place the data payload (absent the link fragment header) within an IP datagram reassembly buffer at the quadlet offset specified by *fragment_offset*. The size of the reassembly buffer may be determined from *bsize*.

If a datagram fragment is received that overlaps another fragment for the same *signature* and *dgl*, the fragment(s) already accumulated in the reassembly buffer shall be discarded. A fresh reassembly may be commenced with the most recently received fragment. Fragment overlap is determined by the combination of *fragment_offset* from the link fragment header and *data_length* from the 1394 packet header.

Upon detection of a Serial Bus reset, recipient(s) shall discard all fragments of all partially reassembled datagrams and sender(s) shall discard all not yet transmitted fragments of all partially transmitted datagrams.

7. ADDRESS RESOLUTION PROTOCOL (ARP)

ARP requests and responses shall be transmitted by the same means as broadcast IP datagrams. The data payload of an ARP request/response is 56 octets and shall conform to the format illustrated below.

NOTE- The first quadlet of the ARP request/response is the link encapsulation header for an unfragmented datagram describe in section 6.

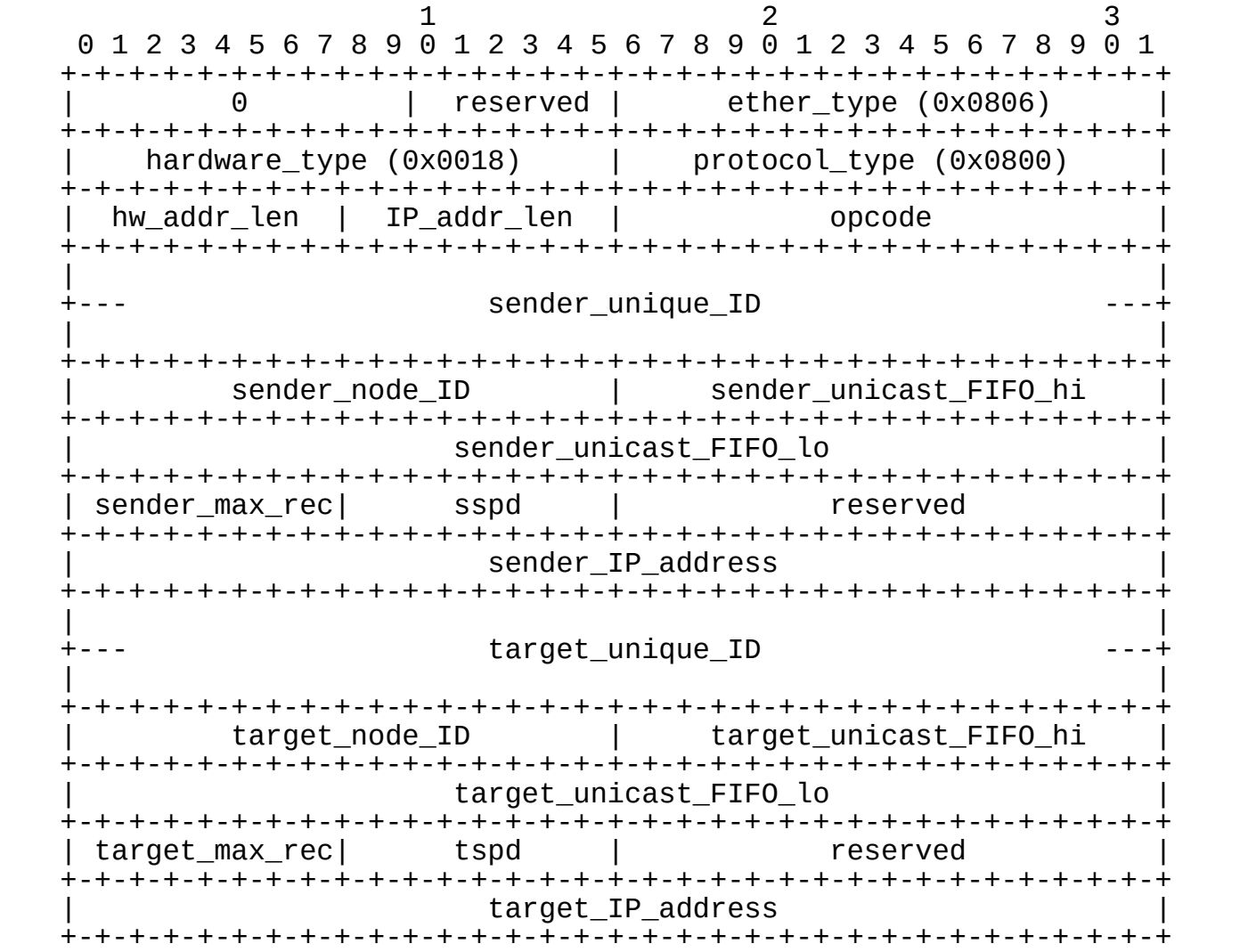

Figure 4 - ARP request/response format

Field usage in an ARP request/response is as follows:

hardware_type: This field indicates 1394 and shall have a value of 0x0018.

protocol_type: This field shall have a value of 0x0800; this indicates that the protocol addresses in the ARP request or response conform to the format for IP addresses.

hw_addr_len: This field indicates the size, in octets, of the 1394-dependent hardware address associated with an IP address and shall have a value of 20.

IP_addr_len: This field indicates the size, in octets, of an IP version 4 (IPv4) address and shall have a value of 4.

opcode: This field shall be one to indicate an ARP request and two to indicate an ARP response.

sender_unique_ID: This field shall contain the node_unique_ID of the sender and shall be equal to that specified in the sender's bus information block.

sender_node_ID: This field shall contain the most significant 16 bits of the sender's NODE_IDS register.

sender_unicast_FIFO_hi and *sender_unicast_FIFO_lo*: These fields together shall specify the 48-bit offset of the sender's FIFO available for the receipt of IP datagrams in the format specified by section 8. The offset of a sender's unicast FIFO shall not change, either as a result of a bus reset, power reset or other circumstance, unless the new FIFO offset is advertised by an unsolicited ARP response datagram.

sender_max_rec: This field shall be equal to the value of *max_rec* in the sender's configuration ROM bus information block.

sspd: This field shall be set to the lesser of the sender's link speed and PHY speed. The link speed is the maximum speed at which the link may send or receive packets; the PHY speed is the maximum speed at which the PHY may send, receive or repeat packets. The encoding used for *sspd* is specified by the table below; all values not specified are reserved.

Value	Speed
0	S100
1	S200
2	S400
3	S800
4	S1600
5	S3200

sender_IP_address: This field shall specify the IP address of the sender.

target_unique_ID: In an ARP request, the value of this field is not specified; it shall be ignored by the recipient. In an ARP response, it shall be set to the value of *sender_unique_ID* from the corresponding ARP request.

target_node_ID: In an ARP request, the value of this field is not specified; it shall be ignored by the recipient. In an ARP response, it shall be set to the value of *sender_node_ID* from the corresponding ARP request.

target_unicast_FIFO_hi and *target_unicast_FIFO_lo*: In an ARP request, the value of these fields is not specified; they shall be ignored by the recipient. In an ARP response, they shall be set to the value of *sender_unicast_FIFO_hi* and *sender_unicast_FIFO_lo* from the corresponding ARP request.

target_max_rec: In an ARP request, the value of this field is not specified; it shall be ignored by the recipient. In an ARP response, it shall be equal to the value of *max_rec* from the corresponding ARP request.

tspd: In an ARP request, the value of this field is not specified; it shall be ignored by the recipient. In an ARP response, it shall be equal to the value of *sspd* from the corresponding ARP request.

~~NOTE- This above descriptions of unspecified values for *target_* fields in an ARP request are subject to confirmation by a consensus poll in progress at the time of publication.~~

target_IP_address: In an ARP request, this field shall specify the IP address from which the responder desires a response. In an ARP response, it shall be set to the value of *sender_IP_address* from the corresponding ARP request.

8. IP UNICAST

IP unicast may be transmitted to a recipient within a 1394 primary packet that has one of the following transaction codes:

tcode	Description	Arbitration
0x01	Block write	Asynchronous
0x0A	Stream packet	Isochronous
0x0A	Stream packet	Asynchronous

Block write requests are suitable when 1394 link-level acknowledgement of the datagram is desired but there is no need for bounded latency in the delivery of the packet (quality of service).

Isochronous stream packets provide quality of service guarantees but no 1394 link-level acknowledgement.

The last method, asynchronous stream packets, is mentioned only for the sake of completeness. This method should not be used, since it provides for neither 1394 link-level acknowledgment nor quality of service---and consumes a valuable resource, a channel number.

NOTE: Regardless of the IP unicast method employed, asynchronous or isochronous, it is the responsibility of the sender of a unicast IP datagram to determine the maximum data payload that may be used in each packet. The necessary information may be obtained from:

- the SPEED_MAP maintained by the 1394 bus manager and provides a maximum transmission speed between any two nodes on the local Serial Bus. The speed in turn implies a maximum data payload (see Table 1).

NOTE: The SPEED_MAP is derived from the self-ID packets transmitted by all 1394 nodes subsequent to a bus reset. An IP-capable node may observe the self-ID packets directly;

- the *target_max_rec* field in an ARP response. This document requires a minimum value of 8 (equivalent to a data payload of 512 octets). Nodes that operate at S200 and faster are encouraged but not required to implement correspondingly larger values for *target_max_rec*; or

- other methods beyond the scope of this standard.

The maximum data payload shall be the minimum of the largest data payload implemented by the sender, the recipient and the PHYs of all intervening nodes.

8.1 Asynchronous IP unicast

Unicast IP datagrams that do not require any quality of service shall be contained within the data payload of 1394 block write transactions addressed to the *target_node_ID* and *target_unicast_FIFO* obtained from an ARP response packet.

If no acknowledgement is received in response to a unicast block write request, the state of the target is ambiguous.

NOTE: An acknowledgment may be absent because the target is no longer functional, may not have received the packet because of a header CRC error or may have received the packet successfully but the acknowledge sent in response was corrupted.

8.2 Isochronous IP unicast

Unicast IP datagrams that require quality of service shall be contained within the data payload of 1394 isochronous stream packets.
The details of coordination between nodes with respect to allocation of channel number(s) and bandwidth is beyond the scope of this standard.

9. IP BROADCAST

Broadcast IP datagrams are encapsulated and fragmented according to the specifications of section 6 and are transported by asynchronous stream packets. There is no quality of service provision for IP broadcast over 1394. The channel number used for IP broadcast is specified by the NETWORK_CHANNELS register.

The channel number specified by NETWORK_CHANNELS is intended for datagrams that the sender wishes to transmit to all IP-capable nodes on the local bus or subnet. Broadcast addresses include all of the following:

- TBD: Add list of IP addresses valid for broadcast

All IP datagrams addressed to one of the preceding addresses shall use asynchronous stream packets whose channel number is equal to the *channel* field from the NETWORK_CHANNELS register.

Although 1394 permits the use of previously allocated channel number(s) for up to one second subsequent to a bus reset, IP-capable nodes shall not transmit asynchronous stream packets at any time the *valid* bit in their NETWORK_CHANNELS register is zero. Since the *valid* bit is automatically cleared to zero by a bus reset, this prohibits the use of ARP or broadcast IP until the NPM allocates a channel number.

10. IP MULTICAST

Many of the details of multicast remain outside the scope of this draft in its present form (but are expected to be added by the working group as the draft is advanced).

IP multicast shall use stream packets, either asynchronous or isochronous, according to the quality of service required.

11. SECURITY CONSIDERATIONS

This document raises no security issues.

12. ACKNOWLEDGEMENTS

This document represents work in progress by the IP / 1394 Working Group. The editor wishes to acknowledge the contributions made by all the active participants, either on the reflector or at face-to-face meetings, which have advanced the technical content.

13. REFERENCES

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